



1642

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

9/4

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Application of: Srivastava, Pramod K.

Confirmation No.: 9970

Application No.: 09/992,613

Group Art Unit: 1642

Filed: November 14, 2001

Examiner: To Be Assigned

For: IMMUNOTHERAPEUTIC STRESS  
PROTEIN-PEPTIDE COMPLEXES  
AGAINST CANCER

Attorney Docket No.: 8449-183-999

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicant respectfully direct the Examiner's attention to the references EN to EQ listed on the attached revised form PTO 1449. Copies of references EN to EQ are submitted herewith.

For references EN to EQ, which are pending U.S. applications, copies of the applications as filed and claims as pending are submitted herewith.

Identification of the above-listed references is not to be construed an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art". As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited".

Applicant respectfully requests that the Examiner review the listed references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of the first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date December 26, 2002

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Enclosure



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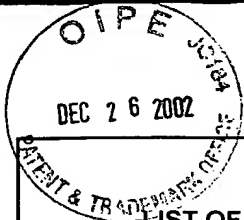
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Enclosure

**LIST OF REFERENCES CITED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

8449-183-999

SERIAL NO.

09/992,613

APPLICANT

Pramod K. Srivastava

FILING DATE

November 14, 2001

GROUP

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	EN	10/180,592		Pramod K. Srivastava			6/25/02
	EO	10/180,562		Pramod K. Srivastava			6/25/02
	EP	10/180,593		Pramod K. Srivastava			6/25/02
	EQ	10/180,563		Pramod K. Srivastava			6/25/02

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)**EXAMINER****DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.